## Beam spin asymmetry in deep and exclusive $\pi^{0}$ electroproduction

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The beam spin asymmetry (BSA) in the exclusive reaction $\overrightarrow{e p} \rightarrow e p \pi^{0}$ was measured with the CEBAF 5.77 GeV polarized electron beam and Large Acceptance Spectrometer (CLAS). The $x_{B}$, $Q^{2}, t$ and $\phi$ dependences of the $\pi^{0}$ BSA are presented in the deep inelastic regime. The asymmetries are fitted with a $\sin \phi$ function and their amplitudes are extracted. Overall, they are of the order of $0.04-0.11$ and roughly independent of $t$. This is the signature of a non-zero longitudinal-transverse interference. The implications concerning the applicability of a formalism based on generalized parton distributions, as well as the extension of a Regge formalism at high photon virtualities, are discussed.

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## I. INTRODUCTION

Deeply virtual exclusive reactions $\gamma^{*} N \quad \rightarrow$ $N \gamma, N \pi, N \rho \cdots$, where the $\gamma^{*}$ virtuality $Q^{2}$ is large, have the potential to probe nucleon structure at the parton level, as described by generalized parton distributions (GPDs). These distributions are universal functions which parameterize the non-perturbative structure of the nucleon. They include as limiting cases form factors and parton distributions, and they also provide access to hitherto unknown observables like the spatial distribution of partons of given longitudinal momentum fraction or the angular momentum of quarks and gluons inside the nucleon [1, 2, 3]. The description of deeply virtual meson production in terms of GPDs relies on a factorization theorem [4], which applies when the virtual photon $\gamma^{*}$ is longitudinally polarized. In other words, meson production is expected to proceed mostly through longitudinal virtual photons in the Bjorken regime $\left(Q^{2} \rightarrow \infty\right.$ and the Bjorken variable $x_{B}$ finite). The corresponding leading-twist diagram (or handbag diagram, illustrated in Fig. (1) for $\pi^{0}$ production is sensitive to specific flavour combinations of quark-helicity dependent (or "polarized") GPDs: $\frac{2}{3} \tilde{H}^{u}+\frac{1}{3} \tilde{H}^{d}$ and $\frac{2}{3} \tilde{E}^{u}+\frac{1}{3} \tilde{E}^{d}[3]$. The $\tilde{H}^{q}$ are partly constrained by the polarized parton distributions $\Delta q$, while the $\tilde{E}^{q}$, largely unknown, are often modeled by a pion-pole term, which would not contribute to the $e p \rightarrow e p \pi^{0}$ process [3]. The $Q^{2}$ range in which the handbag diagram dominates, or where its contribution can be safely extracted, is not yet known for meson production.

An alternative description of exclusive meson produc-


FIG. 1: (Color online) Schematic representation of the handbag diagram for neutral pion production. The symbol $g$ stands for a gluon exchange between quark lines.
tion is based on Regge models, where trajectories are exchanged in the $t$-channel as mediators of the interaction. While extensively studied for photoproduction [5], i.e. for $Q^{2}=0$ and transverse photons, the extension and applicability to virtual photons has not yet been considered in the specific case of neutral pion production.

So, two theoretical descriptions are a priori possible. The Regge approach starts from $Q^{2}=0$ and must be extended to non-zero $Q^{2}$, while the GPD approach has a firm QCD foundation in the Bjorken regime, and its applicability must be tested at finite values of $Q^{2}$.

On the experimental side, while the focus has recently been on the production of real photons [6] (the so-called deeply virtual Compton scattering process, or DVCS) and of vector mesons [7, 8, [9], there is essentially no experimental data available on neutral pseudoscalar meson production above the resonance region. Cross sections were measured at DESY [10] at low values of $Q^{2}$, while a first result on the target-spin asymmetry was obtained at CLAS [11]. For recent data on charged pion electro-
production in this kinematic regime, see Refs. 12, 13 ].
The $e p \rightarrow e p \pi^{0}$ observables depend on the $Q^{2}$ and $x_{B}$ variables, on the squared four-momentum transfer $t$ to the proton and on the angle $\phi$ between the leptonic and hadronic planes. The polarization of the exchanged virtual photon may be transverse $(T)$ or longitudinal $(L)$. It induces an azimuthal dependence of the reduced cross section for the $\gamma^{*} p \rightarrow p \pi^{0}$ process. For each $\left(x_{B}, Q^{2}, t\right)$, taking the ratio of the difference over the sum of cross sections for opposite beam helicities, the beam spin asymmetry (BSA) has the following $\phi$ dependence:

$$
\begin{equation*}
A=\frac{\vec{\sigma}-\overleftarrow{\sigma}}{\vec{\sigma}+\overleftarrow{\sigma}}=\frac{\alpha \sin \phi}{1+\beta \cos \phi+\gamma \cos 2 \phi} \tag{1}
\end{equation*}
$$

The parameter $\alpha$ is proportional to a term denoted $\sigma_{L T^{\prime}}$, originating from the imaginary part of an interference between the helicity amplitudes describing the process [14].

$$
\begin{equation*}
\alpha=\frac{\sqrt{2 \epsilon(1-\epsilon)} \sigma_{L T^{\prime}}}{\sigma_{T}+\epsilon \sigma_{L}} \tag{2}
\end{equation*}
$$

where $\sigma_{T}$ and $\sigma_{L}$ are the pure transverse and longitudinal cross sections, and $\epsilon$ is the usual virtual photon polarization parameter. Any measurement of a non-zero BSA would be indicative of an $L-T$ interference, and therefore of contributions that cannot be described in terms of GPDs. Indeed, preliminary CLAS data indicated sizeable BSA both for exclusive $\pi^{+}$and $\pi^{0}$ production at large $Q^{2}$ 15].

## II. EXPERIMENT AND DATA ANALYSIS

This experiment used the CEBAF 5.77 GeV longitudinally-polarized electron beam impinging on a $2.5-\mathrm{cm}$ long liquid-hydrogen target. The beam helicity was switched pseudo-randomly at a frequency of 30 Hz , and the beam polarization, measured with a Møller polarimeter, had an average value of $79.4 \%$. All final-state particles from the reaction $e p \rightarrow e p \pi^{0}$ followed by the decay $\pi^{0} \rightarrow \gamma \gamma$ were detected. The six-sector CLAS spectrometer [16] was used in order to detect scattered electrons, recoil protons and photons emitted at large angles. An additional small electromagnetic calorimeter ensured photon detection in the near forward region (4.5-15 $)$. This inner calorimeter (IC) was built of 424 tapered leadtungstate crystals, read out with avalanche photodiodes. It was calibrated using the two-photon decay of (inclusively produced) neutral pions.

Events were selected if an electron had generated a trigger, one and only one proton was identified and any number of photons (above an energy threshold of 150 MeV ) were detected in either the IC or the standard CLAS calorimeter EC [17]. Electrons were identified through signals in the EC and in the Cerenkov counters. Events considered hereafter included the kinematic requirements : $Q^{2}>1 \mathrm{GeV}^{2}, \gamma^{*} p$ invariant mass $W>2 \mathrm{GeV}$ and scattered electron energy $E^{\prime}>0.8 \mathrm{GeV}$.


FIG. 2: (Color online) Distribution of squared missing mass for the ep $\rightarrow e p X$ reaction before (black line) and after (thick red line) all cuts on other variables are applied. The arrow points to the pion mass, while the shaded green area correspond to the selected events.

Protons were unambiguously identified over the whole momentum range of interest using time-of-flight from the target to the CLAS scintillators, as well as the track length and momentum determined by the drift chambers. A cut at $\pm 3 \sigma$ was applied around the pion mass in the squared missing mass $\mathrm{MM}^{2}(e p \rightarrow e p X)$ distribution to exclude multipion background.

All clusters detected in the IC were assumed to originate from photons, while additional time-of-flight information was used in the EC to separate photons from neutrons. Photons hitting the calorimeters' edges were excluded. In addition, since the most forward hits in the IC had a sizeable probability of originating from Møller accidental coincidences, a minimal angle was imposed on all photon candidates: $\theta_{\gamma}>8^{\circ}-0.75^{\circ} \times\left(E_{\gamma} / 1 \mathrm{GeV}\right)$.
In order to reconstruct the $\pi^{0}$ candidates, the two most energetic detected photons were considered, originating from either calorimeter. Four combinations are then possible: IC-IC, IC-EC, EC-IC, EC-EC, where the photon with the highest energy was in the first mentioned calorimeter. The two calorimeters (IC and EC) had similar angular resolutions (about 4 mrad for 1 GeV photons) but different energy resolutions ( $\sigma_{E_{\gamma}} / E_{\gamma} \simeq 4.5 \%$ for IC and $11.6 \%$ for EC). When considering photon pairs, the kinematic cuts described below depended then on the four possible photon configurations defined previously.

Events were then selected using a cut at $\pm 3 \sigma$ in the squared missing mass $\mathrm{MM}^{2}\left(e p \rightarrow e \pi^{0} X\right)$ and a cut in the cone angle between the expected direction of the pion from $e p \rightarrow e p X$ kinematics and the measured direction of the two-photon system. This selection resulted in very clean peaks in all kinematic correlations (Fig. 2 gives one example) and in the distributions of the two-photon invariant mass (see Fig. (3), with respectively 191k, 12k, 7k and 14 k events. The small remaining background was estimated using side-bands on the two-photon invariant


FIG. 3: (Color online) Distributions of the two-photon invariant mass, after the application of all cuts described in the text, for the four configurations IC-IC, IC-EC, EC-IC, ECEC, from left to right. The shaded areas correspond to the selected peaks (in green) and to the side-bands used in the background subtraction (in red). Note the change of scale for the last three configurations.


FIG. 4: (Color online) Left: kinematic coverage and binning in the $\left(x_{B}, Q^{2}\right)$ plane. Right: $A(\phi)$ for one of the $13\left(x_{B}, Q^{2}\right)$ bins and one of the 5 bins in $t$, corresponding to $\left\langle x_{B}\right\rangle=0.249$, $\left\langle Q^{2}\right\rangle=1.95 \mathrm{GeV}^{2}$ and $\langle t\rangle=-0.29 \mathrm{GeV}^{2}$; the black dashed curve corresponds to a fit with $A \simeq \alpha \sin \phi$ and the red solid curve to the JML model discussed in the text.
mass spectra, for each beam helicity state and for each of the elementary bins in $\left(x_{B}, Q^{2}, t\right.$ and $\left.\phi\right)$.

## III. $\pi^{0}$ ASYMMETRY

The data were divided into thirteen bins in the $\left(x_{B}\right.$, $Q^{2}$ ) plane (see Fig. (4), five bins in $-t$ (defined by the bin limits $0.09,0.2,0.4,0.6,1$ and $1.8 \mathrm{GeV}^{2}$ ) and twelve $30^{\circ}$ bins in $\phi$. The resolutions in all corresponding variables are smaller than the bin sizes. Bin centering corrections were applied.

Within statistical accuracy, the $\phi$-distributions were found to be compatible with $A \simeq \alpha \sin \phi$ in each $t$-bin (Fig. 4 right). The same compatibility was observed when the $\phi$-distributions were integrated in $t$. The determination of the asymmetry amplitude at $90^{\circ}$ was stable whether the terms in $\cos \phi$ and $\cos 2 \phi$ in Eq. (1) were included in the fit or not. Figure 5 gives the values


FIG. 5: (Color online) Fit parameter $\alpha$, as extracted from $A \simeq$ $\alpha \sin \phi$, as a function of $-t$. The location of each individual plot corresponds to the approximate coverage in $\left(x_{B}, Q^{2}\right)$, except the upper left one ( an enlargement of the lower left one) which indicates the scales common to all plots. The grey areas indicate the maximal size of systematic uncertainties. For selected kinematics, the red curves correspond to the JML model discussed in the text.
of $\alpha$ in the $62\left(x_{B}, Q^{2}, t\right)$ bins considered. By conservation of angular momentum, the helicity-flip transverse amplitude, and thus $A$ and $\alpha$, are identically zero as $t$ reaches its kinematic limit $t_{0}$, corresponding to $\pi^{0} \mathrm{~S}$ emitted in the direction of the virtual photon. At small $x_{B}$, the value of $-t_{0}$ is smaller than our first bin limit $0.09 \mathrm{GeV}^{2}$ (corresponding to the proton-energy detection threshold), which is why $A$ does not go to zero. The increase of $-t_{0}$ explains the missing $t$-bins at large $x_{B}$.

Systematic uncertainties arise from the event selection, as well as from the choice of the fit function used to extract $\alpha$. Together, they were estimated at 0.016 . The comparison between two separate analyses led to increase this value for two points in Fig. 55. The beam polarization measurements induce an additional overall relative uncertainty of $3.5 \%$.

## IV. DISCUSSION OF THE RESULTS

As seen in Fig. 5, the measured beam spin asymmetries are systematically of the order of 0.04 to 0.11 , over a wide kinematic range in $x_{B}, Q^{2}$ and $t$. In particular, there is no evidence of a decrease of $\alpha(t)$ as a function of $Q^{2}$. This is a clear sign of a non-zero $L T^{\prime}$ interference among the amplitudes describing the $\gamma^{*} p \rightarrow p \pi^{0}$ reaction.

In the GPD formalism, only the longitudinal ampli-

(a)

(b)

(c)

FIG. 6: Diagrams describing the neutral pion production in the JML model: (a) Pole terms. (b) Box diagram with elastic $\pi^{0}$ rescattering. (c) Box diagram with charge exchange $\left(\pi^{+} N, \pi^{+} \Delta^{0}, \pi^{-} \Delta^{++}\right.$are the three intermediate states considered). The exchanged mesons are to be understood as the corresponding Regge trajectories, and $\mathbf{P}$ stands for the Pomeron.
tude, dominant in the Bjorken regime, is calculated. The present evidence of non-zero transverse terms indicates that it may be necessary to perform a $L / T$ separation in order to isolate the longitudinal part of the cross section.
A Regge-type model (JML) describes the pion photoand electroproduction according to the diagrams in Fig. 6. The model parameters are tuned to describe the photoproduction data. In particular the strength of the $b_{1}$ exchange term is adjusted to reproduce the linearly polarized photon beam asymmetry [5]. In extending the model to the case of electroproduction, vertex electromagnetic form factors are adjusted to reproduce the DESY data [10]. The application to the kinematic range of the present data is then an extrapolation of the model, which will be fully described elsewhere 18] and reproduces the target-spin asymmetry [11]. When considering the pole terms, only the $b_{1}$ exchange, through its interference with the $\rho$ and $\omega$ exchanges (because of opposite parities), may generate a non-zero beam spin asymmetry. Treating the box diagrams in the approximation of on-shell intermediate particles yields the solid curves presented in Figs. 4 and 5. As apparent in Fig. 4, the model generates sizeable $\gamma$ and $\beta$ terms in Eq. (11), corresponding respectively to a $T T$ interference due to the pole terms of Fig. 6 a and to a $L T$ interference due to the box diagrams of Fig. 6c.

## V. SUMMARY

Sizeable beam-spin asymmetries for exclusive neutral pion electroproduction off the proton have been measured above the resonance region for the first time. These nonzero asymmetries imply that both transverse and longitudinal amplitudes participate in the process. The determination of the longitudinal cross section in the kinematic regime considered here, and the subsequent extraction of polarized generalized parton distributions, may then necessitate to perform a $L / T$ separation. For the same purpose, measurements at still higher values of $Q^{2}$ would be crucial in providing evidence for the expected decrease of the transverse cross section. Presently, the only available model to calculate this observable is based on Regge theory. It reproduces the magnitude of the asymmetries at intermediate values of $t$, but does not exhibit the measured kinematic dependences. Beam-spin asymmetries for exclusive $\eta$ electroproduction, as well as cross sections for $\pi^{0}$ and $\eta$ meson production, will be considered in forthcoming publications.

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